



012- 2/22 #

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Hussain et al.**

Serial No.: **09/613,190**

Filed: **July 10, 2000**

For: **Apparatus and Method for
Cataloging Symbolic Data for Use
in Performance Analysis of
Computer Program**

§
§
§
§
§
§
§
§
§
§

Group Art Unit: **2122**

Examiner: **Curcio, James A. F.**

Attorney Docket No.: **AUS000127US1**

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.

Please charge any fees necessary for prosecution of the present application to Deposit Account No. 09-0447. If any extension of time is required, such extension is hereby requested. Please charge any additional required fee for extension of time to Deposit Account No. 09-0447.

In accordance with 37 C.F.R. 1.97(h), the filing of this Supplemental Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standards of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical

11/09/2004 KRCEX01 09000034 090447 09513190

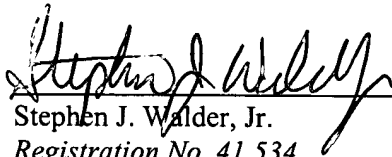
01 FC:1805 180.00 DA

background which may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made.

This Supplemental Information Disclosure Statement is being filed before the mailing date of any of a final action, a notice of allowance, or an action that otherwise closes prosecution of the application. Please charge the required fee of \$180.00 as set forth in § 1.17(p) to Deposit Account No. 09-0447.

Respectfully submitted,

Date: November 5, 2004


Stephen J. Walder, Jr.
Registration No. 41,534
YEE & ASSOCIATES, P.C.
P.O. Box 802333
Dallas, Texas 75380
(972) 385-8777



Form PTO-1449

**LIST OF PRIOR ART CITED
BY APPLICANT***(Use several sheets if necessary)*

ATTORNEY DOCKET NO.

AUS000127US1

SERIAL NO.

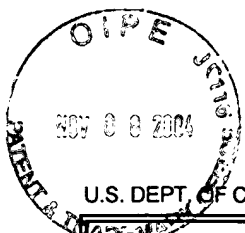
09/613,190APPLICANT **Hussain et al.**FILING DATE **July 10, 2000**GROUP ART UNIT **2122****U.S. PATENT DOCUMENTS**

| EXAMINER INITIAL | DOCUMENT NO. | PUBLICATION DATE | INVENTOR NAME | CLASS/ SUBCLASS | FILING DATE |
|---------------------|-----------------|---------------------|-----------------------|--------------------|----------------|
| | AA 5,408,665 | Apr. 18, 1995 | Fitzgerald | 395/700 | Apr. 30, 1993 |
| | AB 5,809,145 | Sep. 15, 1998 | Slik et al. | 380/25 | Jun. 28, 1996 |
| | AC 5,933,642 | Aug. 3, 1999 | Greenbaum et al. | 395/709 | Apr. 9, 1997 |
| | AD 6,016,556 | Jan. 18, 2000 | Heath | 714/38 | Jul. 17, 1997 |
| | AE 6,442,663 B1 | Aug. 27, 2002 | Sun et al. | 711/202 | Jun. 19, 1998 |
| | AF 6,087,967 | Jul. 11, 2000 | Budnik et al. | 341/63 | Sep. 3, 1998 |
| | AG 5,584,005 | Dec. 10, 1996 | Miyaoku et al. | 395/416 | Sep. 20, 1993 |
| | AH 5,918,004 | Jun. 29, 1999 | Anderson et al. | 395/183.14 | Jun. 3, 1996 |
| | AI 6,274,317 B1 | Aug. 14, 2001 | Hiller et al. | 435/6 | Nov. 2, 1998 |
| | AJ 6,282,701 B1 | Aug. 28, 2001 | Wygodny et al. | 717/4 | Jul. 30, 1998 |
| | AK 6,766,511 B1 | Jul. 20, 2004 | Berry et al. | 717/128 | Jul. 10, 2000 |
| | AL 5,784,553 | Jul. 21, 1998 | Kolawa et al. | 395/183.14 | Apr. 30, 1997 |
| | AM 5,950,003 | Sep. 7, 1999 | Kaneshiro et al. | 395/704 | Apr. 30, 1996 |
| | AN 6,317,872 B1 | Nov. 13, 2001 | Gee et al. | 717/7 | Apr. 6, 1998 |
| | AO 6,338,159 B1 | Jan. 8, 2002 | Alexander, III et al. | 717/4 | Jun. 30, 1999 |
| | AP 6,381,735 B1 | Apr. 30, 2002 | Hunt | 717/4 | Nov. 20, 1998 |
| | AQ 6,382,846 B1 | May 7, 2002 | Lai et al. | 395/385 | Jan. 9, 1998 |
| | AR 5,347,649 | Sep. 13, 1994 | Alderson | 395/600 | Mar. 6, 1990 |
| | AS 6,077,311 | Jun. 20, 2000 | Lichtenstein et al. | 717/4 | Jul. 9, 1997 |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

DATE CONSIDERED

EXAMINER

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Form PTO-1449

**LIST OF PRIOR ART CITED
BY APPLICANT***(Use several sheets if necessary)*

ATTORNEY DOCKET NO.

AUS000127US1

SERIAL NO.

09/613,190APPLICANT **Hussain et al.**FILING DATE **July 10, 2000**GROUP ART UNIT **2122****U.S. PATENT DOCUMENTS**

| EXAMINER INITIAL | DOCUMENT NO. | PUBLICATION DATE | INVENTOR NAME | CLASS/ SUBCLASS | FILING DATE |
|---------------------|-----------------|---------------------|---------------|--------------------|----------------|
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NO. | PUBLICATION DATE | COUNTRY | CLASS/ SUBCLASS | TRANSLATION YES NO |
|---------------------|-----------------|---------------------|---------|--------------------|-----------------------|
| | | | | | |
| | | | | | |

OTHER PRIOR ART *(including author, title, date, pertinent page, etc.)*

| | | |
|--|----|--|
| | AT | Rosenberg, Johnathan B., "How Debuggers Work: Algorithms, Data Structures, and Architecture", Chapter 8 1996, John Wiley & Sons, pp. 151-172. |
| | | |

RELATED PATENT APPLICATIONS

| EXAMINER INITIAL | APPLICATION NO./ ATTY. DOCKET NO. | APPLICANT | TITLE | FILING DATE |
|---------------------|--------------------------------------|-----------|-------|----------------|
| | | | | |
| | | | | |
| | | | | |

DATE CONSIDERED**EXAMINER**

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Hussain et al.**

Serial No.: **09/613,190**

Filed: **July 10, 2000**

For: **Apparatus and Method for
Cataloging Symbolic Data for Use in
Performance Analysis of Computer
Programs**

§ Group Art Unit: **2122**

§

§ Examiner: **Curcio, James A. F.**

§

§ Attorney Docket No.: **AUS000127US1**

§

§ **Certificate of Mailing Under 37 C.F.R. § 1.8(a)**
§ I hereby certify this correspondence is being deposited with the United
§ States Postal Service as First Class mail in an envelope addressed to:
§ Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on
§ November 5, 2004.
§ By: Michele Morrow
Michele Morrow

TRANSMITTAL DOCUMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

35525

PATENT TRADEMARK OFFICE
CUSTOMER NUMBER

Sir:

ENCLOSED HEREWITH:

- Supplemental Information Disclosure Statement;
- Form PTO-1449;
- References AA-AT; and
- Our return postcard.

A fee in the amount of \$180.00 is required. Please charge this fee to IBM Corporation Deposit Account No. 09-0447. If, however, any additional fees are required, I authorize the Commissioner to charge these fees which may be required to IBM Corporation Deposit Account No. 09-0447. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to IBM Corporation Deposit Account No. 09-0447.

Respectfully submitted,

Duke W. Yee

Duke W. Yee

Registration No. 34,285

YEE & ASSOCIATES, P.C.

P.O. Box 802333

Dallas, Texas 75380

(972) 385-8777

ATTORNEY FOR APPLICANTS